

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

DANIEL SHAK, SHK DIVERSIFIED, LLC (and/or
their successors in interest),

Plaintiffs,

v.

JP MORGAN CHASE & CO.,
J.P. MORGAN CLEARING CORP.,
J.P. MORGAN SECURITIES LLC,
J.P. MORGAN FUTURES, INC. (now known as
J.P. MORGAN SECURITIES LLC),

Defendants.

Case No. 15 Civ. 992 (LJL)

Hon. Lewis J. Liman

THOMAS WACKER,

Plaintiff,

v.

JP MORGAN CHASE & CO.,
J.P. MORGAN CLEARING CORP.,
J.P. MORGAN SECURITIES LLC,
J.P. MORGAN FUTURES, INC. (now known as
J.P. MORGAN SECURITIES LLC),

Defendants.

Case No. 15 Civ. 994 (LJL)

Hon. Lewis J. Liman

MARK GRUMET,

Plaintiff,

v.

JP MORGAN CHASE & CO.,
J.P. MORGAN CLEARING CORP.,
J.P. MORGAN SECURITIES LLC,
J.P. MORGAN FUTURES, INC. (now known as
J.P. MORGAN SECURITIES LLC),

Defendants.

Case No. 15 Civ. 995 (LJL)

Hon. Lewis J. Liman

JOINT STIPULATION OF DISMISSAL

IT IS HEREBY STIPULATED AND AGREED between Daniel Shak, SHK Diversified LLC (and/or their successors in interest), Thomas Wacker and Mark Grumet (together, “Plaintiffs”), and JPMorgan Chase & Co., J.P. Morgan Clearing Corp., J.P. Morgan Securities LLC, and J.P. Morgan Futures Inc. (now known as J.P. Morgan Securities LLC) (together, “JPMorgan”), that all of Plaintiffs’ claims against JPMorgan are hereby dismissed with prejudice in the above-captioned actions, pursuant to Rule 41(a)(1)(A)(ii) of the Federal Rules of Civil Procedure, without interest to any party and with each party to bear its own attorneys’ fees and costs.

Dated: August 20, 2020

Respectfully submitted,



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Counsel for defendants JP Morgan Chase & Co., J.P. Morgan Clearing Corp., J.P. Morgan Securities LLC, and J.P. Morgan Futures, Inc. (now known as J.P. Morgan Securities LLC)